05-17-04

Diw

Approved for use through 08/30/2003. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Application Number 10/811,090 TRANSMITTAL Filing Date 03/26/2004 **FORM** First Named Inventor WELLINGHOFF Art Unit (to be used for all correspondence after initial filing) Not Yet Assigned Examiner Name Not Yet Assigned Attorney Docket Number 9+ SwRI-2835-11 Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance communication Fee Transmittal Form Drawing(s) to Technology Center (TC) Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to TC Petition (Appeal Notice, Brief, Reply Brief) Amendment/Reply Petition to Convert to a Proprietary Information After Final Provisional Application Power of Attorney, Revocation Status Letter Change of Correspondence Address Affidavits/declaration(s) Other Enclosure(s) (please **Terminal Disclaimer** Extension of Time Request Identify below): 1) 33 refs. attached (97 refs. total); Request for Refund Express Abandonment Request 2) Certificate of Mailing 37 CFR 1.10; and 3) Return Receipt Postcard. CD, Number of CD(s) Information Disclosure Statement Remarks Certified Copy of Priority Document(s) The Commissioner is hereby authorized to charge any additional fees or credit any overpayments to Deposit Account No. 50-0997 (SWRI-2835-11), Response to Missing Parts/ maintained by Paula D. Morris & Associates, P.C. d/b/a The Morris Law Incomplete Application Firm, P.C. Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Paula D. Morris, Reg. No. 31,516 Individual name Signature Date 05/14/2004 CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. Typed or printed name Please see attached certificate of mailing under 37 CFR 1.10

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Signature

Date

PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

ction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Complete if Known Substitute for form 1449/PTO Application Number 10/811,090 Filing Date 03-26-04 INFORMATION DISCLOSURE First Named Inventor WELLINGHOFF STATEMENT BY APPLICANT Art Unit Not Yet Assigned (Use as many sheets as necessary) Not Yet Assigned **Examiner Name** SWRI-2835-11 Attorney Docket Number

MAY 1 4 2004

				DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Document Number  Number-Kind Code <sup>2 (# known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		<sup>US-</sup> RE32073	01-28-1986	RANDKLEV	
		<sup>US-</sup> 4215033	07-29-1980	BOWEN	
		us- 4539048	09-03-1985	COHEN	
		<sup>US-</sup> 4588756	05-13-1986	BOWEN	
		<sup>US-</sup> 4623738	11-18-1986	SUGERMAN	
		<sup>US-</sup> 4659751	04-21-1987	BOWEN	
		<sup>US-</sup> 4663147	05-05-1987	DEPRINCE	
		US- 4753652	06-28-1988	LANGER	
		<sup>US-</sup> 4964911	10-23-1990	IBSEN	
		<sup>US-</sup> 4978640	12-18-1990	KELLY	
		<sup>US-</sup> 5024850	06-18-1991	BROER	
		<sup>US-</sup> 5030608	07-09-1991	SCHUBERT	
		<sup>US-</sup> 5057018	10-15-1991	BOWEN	
		us- 5064877	11-12-1991	NASS	
		us- 5073294	12-17-1991	SHANNON	
		us- 5202053	04-13-1993	SHANNON	
		<sup>US-</sup> 5276068	01-04-1994	WAKNINE	
		<sup>US-</sup> 5308886	05-03-1994	MASUHARA	
-	1	<sup>US-</sup> 5328947	07-12-1994	TAGUCHI	

		FORE	IGN PATENT DOCU	MENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	T
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	MM-DD-YYYY	, ,	Or Relevant Figures Appear	T⁵
		CA 2181507	01-19-1997	IVOCLAR AG		
	1	DE 10016524 A1	10-04-2001	BASF AG		
		EP 0159887 A2	10-30-1985	MINNESOTA MININ		
		EP 0754675 A2	01-22-1997	IVOCLAR AG		
		EP 1142863 A2	10-10-2001	BASF AKTIENGESE		
		GB 2297549	08-07-1996	COATES		

Examiner	Date	
Signature	Considered	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



PTO/SB/08B (08-03)
Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Cubatitud	te for form 1449/PTO	1			Complete if Known	
Substitui	Cabonate let lettin ( ) ( )		Application Number	10/811,090		
INFO	ORMATIO	N DIS	CLOSURE	Filing Date	03-26-04	
STATEMENT BY APPLICANT			PPLICANT	First Named Inventor	WELLINGHOFF	
	(1)	haada aa m		Art Unit	Not Yet Assigned	
(Use as many sheets as necessary)				Examiner Name	Not Yet Assigned	
Sheet	5	of	6	Attorney Docket Number	SWRI-2835-11	フ

Examiner	Cite	NON PATENT LITERATURE DOCUMENTS  Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of	
Examiner Initials*	No. <sup>1</sup>	the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		DEWAR, et al., Factors influencing the Stabilities of Nematic Liquid Crystals, J. Am. Chem. Soc., 1975, pp. 6658-6666, 97:23.	
		ELLSWORTH, et al., Mutually interpenetrating inorganic-organic networks. New Routes into nonshrinking sol-gel composite materials, J. Am. Chem. Soc., 1991, 113, pp. 2756-2758	
		GALLI, et al., Thermotropic Poly(ester-B-sulfide)s. A new polymer series containing the p-phenylene di(p-oxybenzoate) unit, Polymer Bulletin 21,1989, pp. 563-569.	
		HIKMET, et al., Effect of the orientation of the ester bonds on the properties of three isomeric liquid crystal diacrylates before and after polymerization, Macromolecules, 1995, pp. 3313-3327, 28.	
		LANDRY, et al., In situ polymerization of tetraethoxysilane in poly (methyl methacrylate): morphology and dynamic mechanical properties, Polymer, 1992, pp. 1486-1495, Vol. 33, No. 7.	
		LEE, et al., Phase behavior of liquid crystalline polymer/model compound mixtures: theory and experiment, Macromolecules 1994, 3955-3962, 27.	
		NODE, et al., Hard acid and soft nucleophile system. 2.1 Demethylation of methyl ethers of alcohol and phenol with an aluminum halide-thiol system, J. Org. Chem., 1980, pp. 4275-4277, 45.	
		RITTNER, Nanostructured materials: A technical-market analysis, Proceedings of The First BCC Conference on Fine, Ultrafine, and Nano Particles, 1998, Page 1, Ch. 1, Business Communications Co., Inc., USA.	
		SCHMIDT, et al., Organically modified ceramics and their applications, J. Non-Cryst. Sol. 1990, pp. 428-435, 121.	
		SCHMITT, et al., New Liquid Crystalline di-and tetra- acrylates for network formation, Liquid Crystals, 2001, pp. 1611-1621, Vol 28, No. 11.	

Examiner	Date	
Signature	Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:

Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

MAY 1 4 2004

PTO/SB/08B (08-03)
Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE aduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Complete if Known Substitute for form 1449/PTO **Application Number** 10/811,090 INFORMATION DISCLOSURE **Filing Date** 03-26-04 STATEMENT BY APPLICANT **First Named Inventor** WELLINGHOFF Art Unit Not Yet Assigned (Use as many sheets as necessary) **Examiner Name** Not Yet Assigned Attorney Docket Number 6 SWRI-2835-11 Sheet 6 of

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
		WEDLER, et al., Vitrification in low-molecular-weight mesogenic compounds, J. Mater. Chem. 1991, pp. 347-356, 1(3).	
		WEI, et al., Synthesis of New Organic-inorganic hybrid glasses, Chemistry of Materials, 1990, pp. 337-339, Vol. 2, No. 4.	
	-	WELLINGHOFF, et al., Tantalum Oxide-Polymer composites, The Int'l Symposium, Hyatt O'Hare Regency, Chicago, IL, USA, 1993, pp. 141-154.	

	 	,-1i
Examiner	Date	
Signature	Considered	N 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:

Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

## THE MORRIS LAW FIRM, P.C.

Intellectual Property Law including Patent, Trademark, Copyright Law, Unfair Competition and Related Matters 10260 Westheimer, Suite 360 Houston, Texas 77042 PAULA D. MORRIS ALBERTO Q. AMATONG, IR SCOTT D. COMPTON



## Certificate of Mailing Under 37 CFR 1.10

I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service, under 37 CFR 1.10 on the date indicated below addressed to:

### MAIL STOP AMENDMENT

Commissioner for Patents PO BOX 1450 Alexandria, VA 22313-1450

on US-14-2004 EV 418175286 US

Date Express Mail Label No.

Ann Marie Alaniz

Typed or Printed Name

Note: Each paper must have its own certificate of Mailing or this certificate must identify each submitted paper.

Attached are the following pages:

Transmittal form PTO/SB/21 [1 page], IDS transmittal sheet [1 page], IDS form PTO/SB/08A [4 pages], IDS form PTO/SB/08B [2 pages] and return receipt postcard.

Applicant:WELLINGHOFF, et al.Group Art Unit:Not Yet AssignedSerial No.:10/811,090Examiner:Not Yet AssignedFiling Date:03/26/2004Docket No.:SwRI-2835-11Title:Novel Mesogens

PTO/SB/08A (08-03) Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE ction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

#### Complete if Known Substitute for form 1449/PTO **Application Number** 10/811,090 Filing Date 03-26-04 INFORMATION DISCLOSURE First Named Inventor WELLINGHOFF STATEMENT BY APPLICANT Art Unit Not Yet Assigned (Use as many sheets as necessary) Not Yet Assigned **Examiner Name** SWRI-2835-11 Attorney Docket Number

				DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Document Number  Number-Kind Code <sup>2 (# known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US- 5334625	08-02-1994	IBSEN	
	<del> </del>	<sup>US-</sup> 5372796	12-13-1994	WELLINGHOFF	
		us- 5472797	12-05-1995	YAJIMA	
		<sup>US-</sup> 5486548	01-23-1996	PODSZUN	
		<sup>US-</sup> 5502087	03-26-1996	TATEOSIAN	
		<sup>US-</sup> 5556931	09-17-1996	IMURA	
		us- 5563230	10-08-1996	HSU	
		us- 5654471	08-05-1997	ZAHN	
		<sup>US-</sup> 5663214	09-02-1997	OKADA	
		<sup>US-</sup> 5670583	09-23-1997	WELLINGHOFF	
		<sup>US-</sup> 5695681	12-09-1997	SIEMENSMEYER	
		<sup>US-</sup> 5720805	11-10-1998	SIEMENSMEYER	
_	1	<sup>US-</sup> 5730601	03-24-1998	BOWMAN	
		us- 5804097	09-08-1998	DELAVIER	
		us- 5833880	11-10-1998	SIEMENSMEYER	
		US- 5834532	11-10-1998	YAMAMOTO	
		<sup>US-</sup> 5852248	12-22-1998	CHADWICK	
		<sup>US-</sup> 5859089	01-12-1999	QIAN	
		<sup>US-</sup> 5865623	02-02-1999	SUH	

		FORE	IGN PATENT DOCU	MENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	Ι.
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	MM-DD-YYYY		Or Relevant Figures Appear	٢٠
		JP 05178794	07-20-1993	TOKUYAMA SOTATS		
		WO 79/01040	11-29-1979	EASTMAN KODAK C		
		WO 92/16183	10-01-1992	THERA PATENT GM		L
		WO 93/22397	11-11-1993	MERCK PATENT GM		
		WO 94/16129 •	07-21-1994	PPG INDUSTRIES		L
		WO 94/24052	10-27-1994	SOUTHWEST RESEA		

Examiner	Date	
Signature	Considered	

"EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at <a href="https://www.usplo.gov">www.usplo.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

PTO/SB/08A (08-03)
Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
ction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

MAY 1. 4 2004

Sheet

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Col	Complete if Known				
Application Number	10/811,090				
Filing Date	03-26-04				
First Named Inventor	WELLINGHOFF				
Art Unit	Not Yet Assigned				
Examiner Name	Not Yet Assigned				
Attorney Docket Number	SWRI-2835-11				

Examiner	T Cite	Desument Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where
Examiner Initials*	Cite No. <sup>1</sup>	Document Number  Number-Kind Code <sup>2 (# known)</sup>	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevan Figures Appear
		<sup>US-</sup> 5871665	02-16-1999	COATES	
		<sup>US-</sup> 5886064	03-23-1999	RHEINBERGER	
		us- 5897885	04-27-1999	PETTICREW	
		<sup>US-</sup> 5910273	06-08-1999	THIEL	
-		<sup>US-</sup> 5911911	06-15-1999	KELLER	
		<sup>US-</sup> 5955514	09-21-1999	HUANG	
		<sup>US-</sup> 5989461	11-23-1999	COATES	
		us- 6022404	02-08-2000	ETTLINGER	
-		<sup>US-</sup> 6027816	02-22-2000	ONO	
		<sup>US-</sup> 6031015	02-29-2000	RITTER	
-		<sup>US-</sup> 6060042	05-09-2000	SCHUMACHER	
		<sup>US-</sup> 6087816	07-11-2000	VOLK	
		<sup>US-</sup> 6090308	07-18-2000	COATES	
		<sup>US-</sup> 6117920	09-12-2000	JOLLIFFE	
		us- 6136225	10-24-2000	MEYER	
		<sup>US-</sup> 6144428	11-07-2000	SCHADT	
		<sup>US-</sup> 6217792	04-17-2001	PARRI	
		<sup>US-</sup> 6217955	04-17-2001	COATES	
		US-			

		FORE	IGN PATENT DOCL	MENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	MM-DD-YYYY		Or Relevant Figures Appear	T⁵
		WO 97/14674	04-24-1997	DENTSPLY INTERN		
		WO 98/13008	04-02-1998	SOUTHWEST RESEA		
	,	WO 98/23580	06-04-1998	BASF AKTIENGESE		L
		WO 99/17716	04-15-1999	DENTSPLY INTERN		
		EP 0 869 112 A1	03-17-1998	Daimler Benz	_	
		EP 0 242 278 A2	10-21-1987	U. of Illinois		

Examiner	Date
Signature	Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

uction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. Substitute for form 1449/PTO

PTO/SB/08A (08-03) Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet

Complete if Known					
Application Number	10/811,090				
Filing Date	03-26-04				
First Named Inventor	WELLINGHOFF				
Art Unit	Not Yet Assigned				
Examiner Name	Not Yet Assigned				
Attorney Docket Number	SWRI-2835-11				

			U. S. PATENT	DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Document Number  Number-Kind Code <sup>2 (f known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US- 6291035	09-18-2001	VERRALL	<del>   </del>
	<del>                                     </del>	<sup>US-</sup> 6335462	01-01-2002	ETZBACH	
		US- 6414092	07-02-2002	COATES	
		<sup>US-</sup> 6649230	11-18-2003	SEIBERLE	
		<sup>US-</sup> 6258974 B1	07-10-2001	Wellinghoff et al	
		<sup>US-</sup> 5998499	12-07-1999	Klee	
		<sup>US-</sup> 5622648	04-22-1997	Parri et al.	
		us- 5401528	3-28-1995	Schmidt	
		US-			
		US-	1		
		US-			

Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
	Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> ( <i>if known</i> )	MM-DD-YYYY		Or Relevant Figures Appear	Т
	EP 0722992	07-24-1996	DEGUSSA AG		
	WO 02/070543 A2	09-12-2002	UNIVERSITY OF TEXAS		
					L
					┝
					_
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)  EP 0722992	Cite   Foreign Patent Document   Publication   Date   MM-DD-YYYY	Cite No. 1  Foreign Patent Document  Country Code 3 Number 4 Kind Code 5 (if known)  EP 0722992  Publication Date MM-DD-YYYY  07-24-1996  DEGUSSA AG	No. 1 Date MM-DD-YYYY Applicant of Cited Document Where Relevant Passages Or Relevant Figures Appear EP 0722992 07-24-1996 DEGUSSA AG

		_
Examiner	Date	
Signature	Considered	
_		

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450 . DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 .

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

§ Not Yet Assigned Applicant: Wellinghoff **Group Art Unit:** 

Serial No.:

10/811,090

S Examiner: Not Yet Assigned

Filed:

03/26/04

S Atty. Docket No.: SwRI-2835-11

Title:

**Novel Mesogens** 

### MAIL STOP AMENDMENT

Commissioner for Patents PO BOX 1450 Alexandria, VA 22313-1450

### INFORMATION DISCLOSURE STATEMENT

### Dear Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Information Disclosure Statement be entered and the references listed on the attached Forms PTO/SB/08A and PTO/SB/08B be considered by the Examiner and made of record.

This Information Disclosure Statement is not to be considered as a representation that a search has been made or that no other material information as defined under 37 C.F.R. § 1.56 exists.

The commissioner is hereby authorized to charge any additional fees or credit any overpayment to Deposit Account No. 50-0997 (SWRI-2835-11), maintained by Paula D. Morris & Associates, P.C. d/b/a The Morris Law Firm, P.C..

Respectfully submitted,

Paula D. Morris, Registration No. 31,516

The Morris Law Firm, PC 10260 Westheimer, Suite 360

Houston, Texas 77042-3110

Telephone: (713) 334-5151 Facsimile: (713) 334-5157